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JC17 Rec'd PCT/PTO

0 4 JUN 2001

Express Mail No.: EJ
ATTORNEY DOCKET NC

24 164 US 01.C1003

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IN THE UNITED STATES PATENT AND TRADEMARK (

In <u>re</u> Application of:

Group Art Unit:

Thakur, Madhukar (Mathew) L.

Examiner:

RESPONSE

Serial No.: 09/763,777

AND

Filed: 2001-02-16

PRELIMINARY AMENDMENT

Title: Imaging With TC-99M Labeled

Fibrin-Alpha-Chain Peptide

Box Sequence Assistant Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Dear Sir:

This responds in full to the communication mailed April 3, 2001 from the Patent Office, entitled "Response to Notice of Missing Requirements under 35 U.S.C. 371 In the United States Designated/Elected Office (DO/EO/US)." A copy of the Office Letter is enclosed. This response is timely filed.

Submitted herewith is a "Sequence Listing" in computer readable form, and a of the second isting in accordance with the requirements of 37 CFR §§ 1.821-1.82 amend the application and insert the paper copy containing Sequence Not that has an formatted in FastSeq Version 4.0 to conform with 37 C.F.R. 1.823.

Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 09/763,7774	
ATTN: NEW RULES CASES	: Please disregard english "Alpha" headers, which were inserted by Pto softw	VARE
1Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to 3; this will prevent "wrapping."	
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped	•
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.	
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If Intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.	
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220><223> section is required when <213> response is Unknown or is Artificial Sequence	•
	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.	

AMC/MH - Biotechnology Systems Branch - 08/21/2001

PATENT

Attorney Docket No.: 8321-119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Patent application of

Madhukar Matthew Thakur

Group Art Unit:

Serial No.

09/763,777

International Filing Date: 17 Aug 1999

Examiner:

For:

Imaging With TC-99m Labeled Fibrin-

Alpha-Chain Peptide

Statement Under 37 C.F.R 1.821

Box PCT Commissioner for Patents Washington, DC 20231 Attn: Patricia Booker

Sir:

The undersigned hereby states that the sequence listing information recorded in the substitute computer readable form and submitted herewith, is identical to the substitute written (paper copy) sequence listing also submitted herewith.

BY

No new matter has been added.

DANIEL A. MONACO Registration No. 30,480 DRINKER, BIDDLE & REATH, LLP. One Logan Square

18th and Cherry Streets Philadelphia, PA 19103-6996

(215) 988-3312

(215) 988-2757 – Fax

Attorney for the Applicants

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

BY	Del naticchia
DATE:	11/11/02

RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	09/763,7 <i>9</i> 7A	
Source:	PUT 109	
Date Processed by STIC:	6/4/2002	

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (httm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- 3. Hand Carry directly to:
 U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202

Or

II S. Patent and Trademark Office. Por Sequence Oustomer Window, Lobby, Poom, 1903, Crystal Plane T.

- U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002



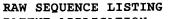
PCT09

RAW SEQUENCE LISTING
DATE: 06/04/2002
PATENT APPLICATION: US/09/763,777A
TIME: 15:54:22

Input Set : A:\THA01 C1003 SEQLIST.TXT
Output Set: N:\CRF3\06042002\I763777A.raw

Does Not Comply Corrected Diskette Needed

4 <110> APPLICANT: Thakur, Madhukar (Mathew) L. 6 <120> TITLE OF INVENTION: Imaging with TC-99m Labeled Fibrin-Alpha-Chain Peptide 9 <130> FILE REFERENCE: THA01-C1003 11 <140> CURRENT APPLICATION NUMBER: 09/763,777A -> 12 <141> CURRENT FILING DATE: 2001-07-04 14 <150> PRIOR APPLICATION NUMBER: 60/096,803 15 <151> PRIOR FILING DATE: 1998-08-17 17 <160> NUMBER OF SEQ ID NOS: 5 19 <170> SOFTWARE: FastSEQ for Windows Version 4.0 21 <210> SEQ ID NO: 1 22 <211> LENGTH: 3 23 <212> TYPE: PRT 24 <213> ORGANISM: Artificial Sequence 26 <220> FEATURE: 27 <223> OTHER INFORMATION: N-terminus tripeptide portion of fibrin-alpha-chain peptide 30 <400> SEQUENCE: 1 31 Gly Pro Arg 32 1 34 <210> SEO ID NO: 2 35 <211> LENGTH: 4 36 <212> TYPE: PRT 37 <213> ORGANISM: Artificial Sequence 39 <220> FEATURE: 40 <223> OTHER INFORMATION: Analog of N-terminus tripeptide identified in SEQ 41 ID NO:1 43 <400> SEQUENCE: 2 44 Gly Pro Arg Pro 45 1 47 <210> SEQ ID NO: 3 48 <211> LENGTH: 5 49 <212> TYPE: PRT 50 <213> ORGANISM: Artificial Sequence 52 <220> FEATURE: 53 <223> OTHER INFORMATION: Pentapeptide analog related to N-terminus portion of fibrin-alpha-chain peptide 56 <400> SEQUENCE: 3 57 Gly Pro Arg Pro Pro 58 1 5 60 <210> SEQ ID NO: 4 61 <211> LENGTH: 4 62 <212> TYPE: PRT



5

DATE: 06/04/2002 TIME: 15:54:22

PATENT APPLICATION: US/09/763,777A

Input Set : A:\THA01 C1003 SEQLIST.TXT
Output Set: N:\CRF3\06042002\I763777A.raw

```
see item 11 on Evor Sunnay Sheet
  63 <213> ORGANISM/ Artificial Sequence
  66 <223> OTHER INFORMATION: at amino acid number 2 the alanine is the delute
67 D-alanine
  69 <400> SEQUENCE: 4
  70 Gly Ala Gly Gly
  71 1
  73 <210> SEQ ID NO: 5
  74 <211> LENGTH: 10
  75 <212> TYPE: PRT
  76 <213> ORGANISM: Artificial Sequence
  78 <220> FEATURE:
  79 <223> OTHER INFORMATION: TP 850 decepeptide
  81 <223> OTHER INFORMATION: at amino acid number 6, Xaa designates Aba which
           is 4-aminobutyric acid
  84 <223> OTHER INFORMATION: at amino acid number 9, the alanine is the
          D-alanine
  85
-> 87 <221> NAME/KEY: MOD_RES
y> 88 <222> LOCATION: 6
 91 <400> 5
  92 Gly Pro Arg Pro Pro Xaa Gly Gly Ala Gly
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93 1



RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/09/763,777A

DATE: 06/04/2002 TIME: 15:54:23

Input Set : A:\THA01 C1003 SEQLIST.TXT
Output Set: N:\CRF3\06042002\1763777A.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:5; Xaa Pos. 6